Academic Vitae

NAME: Hanwen Chen

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PRESENT UNIVERSITY POSITION AND DEPARTMENT: Professor, Accounting Department

EDUCATION

(Please refer from the most recent degree; please indicate research topics and dissertation topics for Doctoral or higher degrees)

1994-1997, Xiamen University Accounting Department, Economics PhD, "Research on Code of CPA's Professional Conduct"

1986-1990, Xiamen University Accounting Department, Economics Bachelor

GENERAL WORK EXPERIENCE

(Please list here ONLY general information on your work experiences, explanations are required in later items; please follow the examples as you write.)

2015. 7—Present, University of International Business and Economics

1990. 8—2015.6, Xiamen University

RESEARCH INTERESTS

Auditing, Financial Accounting Theory, Internal Control, Corporate Governance

TEACHING INTERESTS

Auditing, Internal Control, Corporate Governance

RESEARCH PROJECTS

(Please include academic research projects, teaching projects and entrepreneurial projects, etc.) Emphasis on the recent 5 years (2011.2-2016.2)

Example:

1. National Natural Science Fund Major Project, "Information Eco-environment and Enterprise Internal

Control Effectiveness Research", Approval No. 71332008, 2014-2018

2. Major Project of Key research base for Humanities and social sciences of Ministry of Education, "China Listed Company Internal Control Evaluation and Index Research", Approval No. 10JJD630003, 2011-2014

GRANTS (Emphasis on the recent 5 years 2011.2-2016.2)

"Nanqiang Awards Individual first prize" (2012, Xiamen University Teacher's Highest Award)

HONORS AND AWARDS

FACULTY EXPERINCES

ACADEMIC VISITING EXPERIENCE

- 2010--2011, (England) University of Oxford, Business School, Visiting Scholar
- 2008.8, (America) Havard University, Business School, PCMPCL Training
- 2000-2001 (Canada) Saint Mary's University, Business School, China-Canada Exchange Scholar

UNIVERSITY ADMINISTRATIVE EXPERIENCE

(Please explain the administrative positions as part of the faculty responsibilities within the university)

2015, Xiamen University Academic Committee, Secretary

2012-2015, Xiamen University Graduate School, Deputy Dean

2008-2012, Xiamen University Management School, Deputy Dean

2004-2008, Xiamen University Accounting Department, Director

TEACHING EXPERIENCE

(Please indicate the complete teaching experiences)

- 1. Doctoral students, Auditing Theory Research
- 2. Postgraduate student, Auditing Theory
- 3. Undergraduate, Auditing, Accounting, Auditing Theory Topic, Corporate Governance
- 4、 EMBA Course: Internal Control, Auditing Practice, Corporate Governance

UNDERGRADUATE LEVEL

PUBLICATIONS

(Please list the publications from the most recent 5 years (2011.2-2016.2) <u>AND</u> the important publications before 2008; follow the categories and examples, write "None" if there is no works for particular category; include those which belong to none of the categories in "Others.")

1. PEER REVIEW JOURNAL ARTICLE

- 1. Effects of Audit Quality on Earnings Management and Cost of Equity Capital: Evidence from China, *Contemporary Accounting Research*, Vol. 28 No. 3 (Fall 2011) pp. 892–925 28(3)
- 2. Association Between Borrower and Lender State Ownership and Accounting Conservatism, Journal of Accounting Research, 2010, 48(5), 973-1014
- 3. Are evaluations of audit quality influenced by management's intentions and outcomes? Asia-Pacific Journal of Accounting & Economics, DOI:10.1080/16081625.2014.928988, Published online: 23 Jun 2014

2. RESEARCH MONOGRAPH

"Positive Auditing Theory", China Renmin University Press, 2012

3. **BOOK**

"CEO Internal Control", Peking University Press, 2015

4. <u>CONFERENCE & MEETING PROCEEDING</u> (PUBLISHED or NOT, Pls list out if have ever been invited to be the speaker or emcee)

5. CASES or a SET of CASES

PROFESSIONAL EXPERIENCE

(Please indicate all working experiences in addition to Academic Visiting/University Administrative/Teaching experiences; especially professional experiences within the most recent 5 years. "Entrepreneurial Experiences" includes both full-time and part-time positions, e.g. permanent positions, trainer, etc. "Consulting Experiences" refers to the paid knowledge and information consultancy to achieve business goals. "Significant participation in business professional associations" includes service in commercial or uncommercial associations, participation in related issues and direct contact with business and other organizational leaders. "Community Services" indicates the faculty responsibilities in addition to teaching and researching activities, such as curriculum development, dissertation defense, faculty interview, academic committee membership, etc.)

ENTREPRENEURIAL EXPERIENCES

Industrial Securities , Independent Director

CONSULTING EXPERIENCES

PARTICIPATION IN BUSINESS PROFESSIONAL ASSOCIATIONS

CUMMUNITY SERVICES

(Please indicate faculty responsibilities except teaching and research responsibilities: e.g. curriculum development, dissertation defense, faculty interview, academic committee membership, etc.)

PROFESSIONAL DEVELOPMENT

(Please indicate the professional activities, especially those within the most recent 5 years (2011.2-2016.2); write "None" if no activity is referred.)

SYMPOSIUMS

SEMINARS

2015, China MPAcc Teaching Guidance Committee Courses Seminar (Changchun, Guangzhou)

2015, China Teaching Guidance Committee for Auditing Professional Master Degree Candidate Courses Seminar (Nanjing)

WORKSHOPS

MEETINGS

CONFERENCES

OTHER EXPERIENCE

(Please indicate any experience which is not included in "Faculty Experience," "Professional Experience," or "Professional Development.")